MARISA BROOME

This Adviser Profile is part of the wealthadvice Financial Services Guide (FSG), and must be read in conjunction with the main document.

Are you authorised to provide financial services and products?

- Yes. I am an authorised representative of wealthadvice.com.au Pty Ltd (AFSL 230253).
- My ASIC representative identification number is 230853
- I am a registered tax (financial) adviser registration Number 24827135
- My Financial Adviser Register Number is 001000086

What areas are you authorised to advise on?

I am authorised by Wealthadvice to advise on the following financial services and products:

- Cash accounts
- Managed Investments
- Superannuation
- Personal Insurance
- Shares and listed securities
- Self Managed Superannuation Funds
- Margin Lending

in addition to the strategic advice that we provide.

In addition to the services listed on page 6 of the FSG, are there any services you are not authorised by Wealthadvice to provide?

No

What are your educational qualifications and experience?

I have the following financial planning qualifications:

- Diploma of Financial Planning
- Graduate Certificate in Financial Planning
- CERTIFIED FINANCIAL PLANNER® professional

Apart from more than 20 years of Continuing Professional Development in all areas of financial planning, I have also qualifications in

- Economics,
- the Company Directors Course (AICD)
- Certificate in Estate Planning.

I have over 20 years experience as a financial planner.

- I am the previous Chair of the Financial Planning Association of Australia.
- I have written and developed a number of university subjects and exams in financial planning at undergraduate and post-graduate level
- I have contributed to a number of text books and reference books in financial planning related fields.

How am I paid?

I own wealthadvice and therefore get paid a salary and earn a distribution from profit. We are a fee for service business that is paid for the services we offer to our clients on a time spent and complexity basis.

<u>Initial Advice Fees:</u>

Our first appointment is complimentary. At that time we will work with you to see if we can provide you with the services and advice you require. We will also provide you with an estimate of the cost for the advice we will provide.
A comprehensive financial plan costs a minimum of $4000 but we will provide you a Letter of Engagement outlining all our fees and services prior to any work being undertaken for you.

NOTE: Full details of all fees and possible commissions will be provided to you in a Statement of Advice and Product Disclosure Statements at the time of receiving any recommendation.

What amounts do my employer and other related entities receive?

All fees are received by wealtahdvice.com.au Pty Ltd.

Do you provide referrals for certain services or receive referrals from other parties? If so, what benefit do you receive from these referrals?

We do refer to many specialists depending on the requirements of our clients including a mortgage broker, private bankers, personal and general insurance experts, aged care specialists and lawyers but we do not receive any referral fees nor do we pay referral fees.

Most of our clients come to us as a referral from our existing clients.

I conduct my financial planning activities under the registered name of wealthadvice.com.au Pty Ltd

If you would like to make an appointment to discuss your financial needs and objectives in more detail, please contact me on 0413588680 or 8094 8827

My registered office is 88 Church Street Birchgrove 2041 and we operate from a local office in Rozelle.

My email address is marisa@wealthadvice.com.au
My website address is www.wealthadvice.com.au.

Date of completion of Profile:

1 May 2022.

Confirmation

Acknowledgements – Client Copy

I/we acknowledge that I/we was/were provided with the Financial Services Guide dated 1 May 2022 and Adviser Profile dated 1 May 2022

Client Name: ______________________________

Client Signature: ______________________________ Date received: ______________

Client Name: ______________________________

Client Signature: ______________________________ Date received: ______________

OR complete as follows if FSG is mailed to Client(s):

I confirm that I sent a copy of the Financial Services Guide and Adviser Profile dated 20 November 2018 as follows:

Sent to (Client Name(s)):______________________________

Sent on (Date):______________________________

Sent by (Name):______________________________

Confirmation

Detach copy for File

Acknowledgements – Adviser Copy to be retained on client file

I/we acknowledge that I/we was/were provided with the Financial Services Guide dated 1 May 2022 and Adviser Profile dated 1 May 2022

Client Name: ______________________________

Client Signature: ______________________________ Date received: ______________

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